

# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT** (\$) **750.00**

## Complete if Known

Application Number	
Filing Date	03/28/01
First Named Inventor	Brian N. Sawyer
Examiner Name	
Group Art Unit	
Attorney Docket Number	04816P003

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)** (\$) **710.00**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	X	0.00
2	-3** = 0	X	0.00
Multiple Dependent			

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent claim
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) **0.00**

\*\*or number of previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
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116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
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119	310	219	155	Notice of Appeal	
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169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **40.00**

## SUBMITTED BY

Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637	Reg. Number	
Signature	<i>Thomas Coester</i>	Date	03/28/01
		Deposit Account User ID	02-2666

## Complete (if applicable)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03-30-01

A

Please type a plus sign (+) inside

x → +

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04816P003

First Inventor or Application Identifier Brian N. Sawyer

Title GRAPHICAL USER INTERFACE FOR FILTERING A POPULATION OF ITEMS

Express Mail Label No. EL651820227US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification Total Pages **15**  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **3**

5. Oath or Declaration Total Pages **2**

- a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CFR)  
b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO - 1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other: .....

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



\*08791\*

or ☐ Correspondence address below

Name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Address 12400 Wilshire Boulevard, Seventh Floor

City	Los Angeles	State	California	Zip Code	90025
Country	U.S.A.	Telephone	(310) 207-3800	Fax	(310) 820-5988

Name (Print/Type) Thomas M. Coester, Reg. No. 39,637

Signature

*Thomas Coester*

Date

03/28/01

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03/28/01  
31062 U.S. PTO

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision.</p>		<b>C mplete If Known</b>													
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Multiple Dependent						=																																																																																																																																																																																																																																																																																																																					
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																																						
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																																								
103	18	203	9	Claims in excess of 20																																																																																																																																																																																																																																																																																																																							
102	80	202	40	Independent claims in excess of 3																																																																																																																																																																																																																																																																																																																							
104	270	204	135	Multiple Dependent claim																																																																																																																																																																																																																																																																																																																							
109	80	209	40	**Reissue independent claims over original patent																																																																																																																																																																																																																																																																																																																							
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																																																							
<b>SUBTOTAL (2)</b>					(\$) 0.00																																																																																																																																																																																																																																																																																																																						
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																																						
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																																								
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																																																																							
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																																																																																																							
139	130	139	130	Non-English specification																																																																																																																																																																																																																																																																																																																							
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																																																																																							
112	920	112	920	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																																							
113	1,840	113	1,840	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																																							
115	110	215	55	Extension for response within first month																																																																																																																																																																																																																																																																																																																							
116	390	216	195	Extension for response within second month																																																																																																																																																																																																																																																																																																																							
117	890	217	445	Extension for response within third month																																																																																																																																																																																																																																																																																																																							
118	1,390	218	695	Extension for response within fourth month																																																																																																																																																																																																																																																																																																																							
128	1,890	228	945	Extension for response within fifth month																																																																																																																																																																																																																																																																																																																							
119	310	219	155	Notice of Appeal																																																																																																																																																																																																																																																																																																																							
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																							
121	270	221	135	Request for oral hearing																																																																																																																																																																																																																																																																																																																							
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																							
140	110	240	55	Petition to revive - unavoidably																																																																																																																																																																																																																																																																																																																							
141	1,240	241	620	Petition to revive - unintentionally																																																																																																																																																																																																																																																																																																																							
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																							
143	440	243	220	Design issue fee																																																																																																																																																																																																																																																																																																																							
144	600	244	300	Plant issue fee																																																																																																																																																																																																																																																																																																																							
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																							
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																							
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																							
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																																																																																																																																						
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																							
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																																							
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																																							
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																																							
Other fee (specify) _____																																																																																																																																																																																																																																																																																																																											
<b>SUBTOTAL (3)</b>					(\$) 40.00																																																																																																																																																																																																																																																																																																																						

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	Thomas M. Coester, Reg. No. 39,637			Reg. Number	
Signature	<i>Thomas Coester</i>	Date	03/28/01	Deposit Account User ID	02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122 (B)(2)(B)(i)</b>	<i>First Named Inventor</i>	Brian N. Sawyer
	<i>Title</i>	GRAPHICAL USER INTERFACE FOR FILTERING A POPULATION OF
	<i>Attorney Docket No.</i>	04816P003

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

03/28/01

Date

*Thomas Coester*

Signature

Thomas M. Coester, Reg. No. 39,637

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**